PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of a collection of information unless it displays a valid OMB control number. perwork Reduction Act of 1995, no person Application Number 10/723,127 TRANSMITTAL Filing Date 11/25/2003 **FORM** First Named Inventor SALMAN AKRAM Art Unit 2811 Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number 02-0083.1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Return receipt postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name THE LAW OFFICE OF STEPHEN A. GRATTON Signature Printed name Stephen A. Gratton Date Reg. No. 12/22/2004 28,418 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Stephen A. Gratton Date 12/22/2004 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DEC 2 8 2004 ST

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

SALMAN AKRAM MIKE BROOKS

Serial No.: 10/723,127

ART UNIT: 2811

Filing Date: 11/25/2003

EXAMINER:

FOR:

METHOD FOR FABRICATING SEMICONDUCTOR

COMPONENT WITH ON BOARD CAPACITOR

Docket No. 02-0083.1

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 PRIOR TO THE FIRST OFFICE ACTION ON THE MERITS

DECEMBER 22, 2004

MAIL STOP AMENDMENT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement transmitted herewith is being filed within 3 months of filing or before the first Office Action on the merits, whichever is later.

A duplicate of this transmittal is attached. If any fees are due, please charge the Deposit Account 07-1857.

Respectfully submitted:

Stephen A. Gratton Registration No. 28,418

Attorney of Recon

2764 South Braun Way Lakewood, CO 80228 Telephone: (303) 989 6353

Fax: (303) 989 6538

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 22nd day of December, 2004.

<u>December 22, 2004</u>

Date of Signature

Stephen A. Gratton, Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

SALMAN AKRAM MIKE BROOKS

Serial No.: 10/723,127

ART UNIT: 2811

Filing Date: 11/25/2003

EXAMINER:

FOR:

METHOD FOR FABRICATING SEMICONDUCTOR

COMPONENT WITH ON BOARD CAPACITOR

Docket No. 02-0083.1

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 DECEMBER 22, 2004

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed on the accompanying Form PTO/SB/08, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §§1.56, 1.97 and 1.98.

This Information Disclosure Statement under 37 CFR §§1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art under 35 USC §102.

It is requested that the above citations be made of record, if appropriate, in the prosecution of this application.

Respectfully submitted

Stephen A. Gratton Registration No. 28,418

Attorney of Record

2764 South Braun Way Lakewood, CO 80228 Telephone: 303 989 6353

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 22nd day of December, 2004.

<u>December 22, 2004</u> Date of Signature

tephen A. Gratton, Attorney of Record

PTO/SB/08A (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Complete if Known			
Application Number	10/723,127	_	
Filing Date	11/25/2003		
First Named Inventor	SALMAN AKRAM	_	
Art Unit	2811		
Examiner Name		_	
Attornou Docket Number	02 0002 1	_	

Examiner	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*		Number-Kind Code ^{2 (# known)}		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	Α	^{US-} 4,260,444	04/07/1981	Fowler ·		
	В	^{US-} 4,322,778	03/30/1982	Barbour et al.		
	С	^{US-} 4,758,926	07/19/1988	Herrell et al.		
	D	^{US-} 4,803,595	02/07/1989	Kraus et al.		
	Ε	^{US-} 5,177,594	01/05/1993	Chance et al.		
	F	^{US-} 6,043,109	03/28/2000	Yang et al.		
	G	^{US-} 6,285,080 B1	09/04/2001	Bezama et al.		
	Н	^{US-} 2004/0036157 A1	02/26/2004	Akram et al.		
	1	^{US-} 6,771,786 B1	08/03/2004	Skindhøj et al.		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	, pp. ca. ii o ii o ii o o o o o o o o o o o o o	Or Relevant Figures Appear	יַ
						L
						Γ
						٢

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.